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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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23911	7590	01/25/2005	EXAMINER	
CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300			HUYNH, PHUONG N	
			ART UNIT	PAPER NUMBER
			1644	

DATE MAILED: 01/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/779,731

Applicant(s)

ACHEN ET AL.

Examiner

Phuong Huynh

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE Three MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 46-64 is/are pending in the application.
- 4a) Of the above claim(s) 53-64 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 46-52 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>2/18/04</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 46-64 are pending.
2. Applicant's election with traverse of Group 1, Claims 46-52 drawn to a method for imaging using antibody which interferes with binding of VEGF-D to a VEGF receptor 3, filed 11/4/04, is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).
3. Claims 53-64 are withdrawn from further consideration by the examiner, 37 C.F.R. 1.142(b) as being drawn to a non-elected invention.
4. Claims 46-52, drawn to a method for imaging using antibody which interferes with binding of VEGF-D to a VEGF receptor 3, are being acted upon in this Office Action.
5. Claim 49 is objected to because said claim 49 depends from itself.
6. Claims 47-52 are objected to because "A" should have been "The" for said dependent claims.
7. Applicant should amend the first line of the specification to update the relationship between the instant application and 10/100,037, filed 3/19/02, which is now Pat No. 6,730,489.
8. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
9. Claim 47 is rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

It is apparent that the 2F8 (ATCC No. PTA-3653), 4A5 (ATCC No. HB-12698), 4E10 (ATCC No. PTA-3652), and 5F12 (ATCC No. PTA-3651) antibodies are required to practice the

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claimed invention. As a required element, it must be known and readily available to the public or obtainable by a repeatable method set forth in the specification. If it is not so obtainable or available, the enablement requirements of 35 USC 112, first paragraph, may be satisfied by a deposit of the hybridoma which produces this antibody. See 37 CFR 1.801-1.809.

In addition to the conditions under the Budapest Treaty, applicant is required to satisfy that all restrictions imposed by the depositor on the availability to the public of the deposited material will be irrevocably removed upon the granting of a patent in U.S. patent applications.

Amendment of the specification to recite the date of deposit and the complete name and address of the depository is required. As an additional means for completing the record, applicant may submit a copy of the contract with the depository for deposit and maintenance of each deposit.

Applicant is reminded that the following and should amend the specification accordingly. The current address of the ATCC is as follows:

American Type Culture Collection, 10801 University Boulevard, Manassas, VA 20110-2209

If the original deposit is made after the effective filing date of an application for patent, the applicant should promptly submit a verified statement from a person in a position to corroborate the fact, and should state, that the biological material which is deposited is a biological material specifically identified in the application as filed, except if the person is an attorney or agent registered to practice before the Office, in which case the statement need not be verified. See MPEP 1.804 (b).

10. Claims 46 and 48-52 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling only for a method for imaging of lymphatic vasculature in tissue comprising the steps of contacting the sample with an antibody or a labeled antibody or binding fragment thereof selected from the group consisting of monoclonal antibody 2F8 (ATCC No. PTA-3653), 4A5 (ATCC No. PTA-12698), 4E10 (ATCC No. PTA-3652) and 5F12 (ATCC No. PTA-3651) which specifically binds to a polypeptide comprising the amino acid sequence of SEQ ID NO: 1, **does not** reasonably provide enablement for a method for imaging of lymphatic vasculature in tissue, comprising the step of contacting the tissue with *any* antibody which interferes with binding of any VEGF-D to any VEGF receptor-3, and detecting the occurrence of binding of said antibody as set forth in claims 46 and 48-52. The specification does not enable any person skilled

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in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in **scope** with these claims.

Factors to be considered in determining whether undue experimentation is required to practice the claimed invention are summarized *In re Wands* (858 F2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988)). The factors most relevant to this rejection are the scope of the claim, the amount of direction or guidance provided, the lack of sufficient working examples, the unpredictability in the art and the amount of experimentation required to enable one of skill in the art to practice the claimed invention. The specification disclosure is insufficient to enable one skilled in the art to practice the invention as broadly claimed without an undue amount of experimentation.

The specification discloses only monoclonal antibodies with laboratory designation as MAb 2F8, MAb 4A5, MAb 4E10 and MAb 5F12 for a method of detecting VEGF-D in biological sample.

Other than the specific antibodies mentioned above for a method of detecting VEGF-D in a sample, there is insufficient guidance as to the chemical structures of immunogen used by applicants and the binding specificity of all antibodies which interfere with binding of all VEGF-D to its VEGF receptor-3.

Abaza *et al* teach that even a single amino acid substitution outside the antigenic site can exert drastic effects on the reactivity of a protein with monoclonal antibody against the site (See abstract, in particular). Given the indefinite number of undisclosed immunogenic determinant to which the antibody binds, there is insufficient guidance and working example in the specification as-filed on binding specificity, the epitope to which the antibody binds to direct a person of skill in the art in how to make said antibody.

Kuby *et al* teach that antibody epitopes (B cell epitopes) are not linear and are comprised of complex three-dimensional array of scattered residues which will fold into specific conformation that contribute to binding (See Kuby 1994, page 94, in particular). Immunization with a peptide fragment derived from a full-length polypeptide may result in **antibody specificity** that differs from the antibody specificity directed against the native full-length polypeptide. Without the specific amino acid residues of the immunogen, it is unpredictable which antibody in the claimed method generated from any fragment will have the same antibody binding specificity as an antibody generated from the full-length polypeptide, in turn, said antibody will be

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effectively interferes with the binding of VEGF-D to its receptors such as VEGF receptor-2 and VEGF receptor-3.

For these reasons, it would require undue experimentation of one skilled in the art to practice the claimed invention. See page 1338, footnote 7 of *Ex parte Aggarwal*, 23 USPQ2d 1334 (PTO Bd. Pat App. & Inter. 1992). In *re wands*, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988), the decision of the court indicates that the more unpredictable the area is, the more specific enablement is necessary. In view of the quantity of experimentation necessary, the limited working examples, the unpredictability of the art, the lack of sufficient guidance in the specification and the breadth of the claims, it would take an undue amount of experimentation for one skilled in the art to practice the claimed invention.

11. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

12. Claims 47-48 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The “F(ab’)₂, F(ab’), F(ab) fragment” in claim 47 has no antecedent basis in base claim 46 because the “antibody fragment” is not recited in claim 46.

The labeled antibody in claim 48 has no antecedent basis in base claim 46 because the none of the antibody in base claim 46 is labeled. It is suggested that claim 46 be amended to provide antecedent basis for claims 47 and 48.

13. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office Action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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14. Claims 46, and 48-51 are rejected under 35 U.S.C. 102(a) as being anticipated by WO 98/07832 publication (Feb 26, 1998; PTO 1449).

The WO 98/07832 publication teaches a method for imaging lymphatic vasculature in tissue comprising the step of contacting the tissue with an antibody such as monoclonal antibody and polyclonal antibodies that bind specifically to human or mouse VEGF-D (see page 10, lines 10-17, in particular). The reference VEGF-D antibodies inherently interfere with binding of VEGF-D to its receptor such as VEGF receptor-3 (see page 38, line 34, in particular). The reference antibody such as monoclonal and polyclonal antibody is labeled covalently or noncovalently with a detectable label such as enzyme, or fluorimetric label such as biotin/avidin, supermagnetic, paramagnetic, electron dense, ecogenic or radioactive agent (see page 10, lines 15-20, in particular), horseradish peroxidase (HRP) (see page 36, line 27, in particular). Therefore the antibody in the claimed method appears to be the same as that of the prior art antibody. Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibody is different from the claimed antibody. See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977). Thus, the reference teachings anticipate the claimed invention.

15. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 103(a) that form the basis for the rejections under this section made in this Office Action:

A person shall be entitled to a patent unless:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. This application currently names joint inventors. In considering Patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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17. Claims 46, 48-50 and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over WO 98/07832 publication (Feb 26, 1998; PTO 1449) in view of Harlow *et al* (in Antibodies a Laboratory Manual, 1988, Cold Spring harbor laboratory publication, Cold Spring Harbor, NY, page 319-329).

The teachings WO 98/07832 publication have been discussed supra.

The claimed invention as recited in claims 49 and 50 differs from the teachings of the reference only that the antibody is labeled with a detectable label wherein the detectable label is a radioactive isotope ^{125}I .

The claimed invention as recited in claim 52 differs from the teachings of the reference only that the antibody is labeled with a detectable label wherein the detectable label is a fluorescein-5-isothiocyanate (FITC).

Harlow *et al* teach a method of imaging using any antibody that is labeled with a detectable label such as radioisotope I^{125} (see page 338, in particular). The advantages of radioactive labeling are ease of detection, cheap, and commercially readily available (See page 324, first paragraph, in particular). Harlow *et al* teach antibody labeling with various label such as fluorescein-5-isothiocyanate (FITC) (see page 354, in particular). Harlow *et al* teach the advantages of fluorescein-5-isothiocyanate (FITC) label is its long shelf life, and high resolution immunocytochemistry (see page 321, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to label any antibody binds specific to the VEGF-D as taught by the WO 98/07832 publication with the radioisotope I^{125} label or fluorescein-5-isothiocyanate (FITC) as taught by Harlow *et al* for a method for imaging of lymphatic vasculature in tissue as taught by the WO 98/07832 publication. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

One having ordinary skill in the art would have been motivated to do this because Harlow *et al* teach the advantages of radioactive labeling such as I^{125} are ease of detection, cheap, and commercially readily available (See page 324, first paragraph, in particular). The advantages of fluorescein-5-isothiocyanate (FITC) label is its long shelf life, and high resolution immunocytochemistry as taught by Harlow *et al* (see page 321, in particular).

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18. Claims 46, and 48-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat 6,342,219 (Filed April 28, 1999; PTO 892) in view of Joukov *et al* (EMBO J 16(13): 3898-3911, 1997; PTO 892).

The '219 patent teaches a method for imaging lymphatic vasculature in tissue comprising the step of contacting the tissue with an antibody such as monoclonal antibody that bind specifically to VEGF such as 2C3 antibody or VEGFR-2 blocking antibody that interferes with the binding of VEGF to VEGFR-2 (see col. 39, lines 10-26, col. 3, line 43-45, in particular). The reference antibody is labeled covalently (see col. 32, lines 10-15, in particular) or non-covalently (in directly) via avidin:biotin (see col. 31, lines 61-62, in particular) with a label such as supermagnetic agent, paramagnetic agent, electron dense agent, radioactive agent or fluorimetric label such as bismuth (III), cobalt (II), iodine 125, rhodamine or fluorescein (see col. 39, lines 20-44, col. 10, lines 24-26, in particular) or enzymatically label such as 3, 3',5,5' tetramethylbenzidine (TMB) substrate with peroxidase (see col. 10,35-40, in particular). The '219 patent further teaches a method of making various antibody such as monoclonal, polyclonal antibody to VEGF (see col. 58, line 44 bridging col. 62, in particular).

The claimed invention as recited in claim 46 differs from the teachings of the reference only that the antibody interferes with the binding of VEGF-D to a VEGF receptor 3 instead of VEGF to a VEGFR-2.

Joukov *et al* teaches a method of detecting VEGF in biological sample, comprising the step of contacting the sample with an antibody such as polyclonal antibody 882 which specifically binds to a polypeptide having the amino acid sequence EETIKFAAAHYNTEILK that corresponds to residues 104-120 of VEGF-C, which is identical to a stretch of amino acid residues in the claimed VEGF-D and detecting the occurrence of binding of said antibody (See page 3909, Materials and methods, Generation of VEGF-C antisera and Western blotting, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the VEGF antibody as taught by the '219 patent for the antibody as taught by Joukov *et al* for a method for imaging lymphatic vasculature in tissue as taught by the '219 and Joukov *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

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One having ordinary skill in the art would have been motivated to do substitute because Joukov *et al* teaches the reference antibody is useful for detecting VEGF in biological sample and the reference inherently interferes with the binding of VEGF-D to its VEGF receptor since the reference antibody 882 which specifically binds to a polypeptide having the amino acid sequence EETIKFAAAHYNTEILK that corresponds to residues 104-120 of VEGF-C, which is identical to a stretch of amino acid residues in the claimed VEGF-D. Therefore the claimed method wherein the claimed antibody binding specificity appears to be the same as that of the prior art antibody. Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibody is different from the claimed antibody. See *In re Best*, 562 F.2d 1252, 195 USPQ 430(CCPA 1977). The '219 patent teaches labeled VEGF antibody is useful for imaging lymphatic vasculature in tissue (see col. 39, lines 10-26, col. 3, line 43-45, in particular).

19. Claim 47 is free of prior art.
20. No claim is allowed.
21. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuong Huynh "NEON" whose telephone number is (571) 272-0846. The examiner can normally be reached Monday through Friday from 9:00 am to 5:30 p.m. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (571) 272-0841. The IFW official Fax number is (571) 273-8300.
22. Any information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Phuong N. Huynh, Ph.D.

Patent Examiner

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January 21, 2005


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